



0420 *Specular*
0300 *Box Seq*
PATENT
Attorney Docket No.: DIVER1440-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mark Madden et al. Art Unit: 1632
Application No.: 09/751,299 Examiner: Unassigned
Filed: December 28, 2000
Title: METHODS FOR PRODUCING ENANTIOMERICALLY PURE ALPHA-SUBSTITUTED CARBOXYLIC ACIDS

BOX SEQUENCE
Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for the above-identified application please find:

1. Statement Under 37 C.F.R. §§ 1.821(f) and (g); and 37 C.F.R. § 1.825 (b) (1 pg);
2. Verified Statement Under 37 C.F.R. § 1.821(f) (1 pg);
3. Amendment and Response To Notice To Comply With Sequence Listing Requirements Under 37 C.F.R. §§ 1.821-1.825 (2 pgs);
4. Sequence Listing in paper form (6 pgs);
5. Sequence Listing in computer readable form (one 3.5" disk);
6. Notice to File Missing Parts (2 pgs.); and
7. Return postcard

Respectfully submitted,

Lisa A. Haile

Lisa A. Haile, Ph.D.
Reg. No. 38,347
Telephone: (858) 677-1456
Facsimile: (858) 677-1465

Dated: 5/8/01
GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1600
San Diego, CA 92121-9931
USPTO Customer Number 2821



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,299	12/28/2000	Mark Madden	DIVER1440-2

CONFIRMATION NO. 8629

FORMALITIES LETTER



OC000000005870955

Lisa A. Haile
 Gray Cary Ware & Freidenrich LLP
 Suite 1600
 4365 Executive Drive
 San Diego, CA 92121-2189

RECEIVED
 MAR 22 2001
 GRAYCARY/GT PATENT

Date Mailed: 03/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$290.
 - \$90 for 10 total claims over 20.
 - \$200 for 5 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 710.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216

- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

Worrene New

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE